Application of Evolutionary Simulated Annealing Method to Design a Small 200 MWt Reactor Core

VIET-PHU TRAN^{1,*}, HOAI-NAM TRAN², VAN KHANH HOANG¹ *1 Institute for Nuclear Science and Technology, VINATOM 2 Institute of Fundamental and Applied Sciences, Duy Tan University Email: [*tvietphu@gmail.com](mailto:tvietphu@gmail.com)*

Abstract: This paper presents the application of an evolutionary simulated annealing (ESA) method to design a small 200 MWt reactor core. The core design is based on a reference ACPR50 reactor deployed in a floating nuclear power plant. The core consists of 37 typical 17x17 PWR fuel assemblies with three different U-235 enrichments of 4.45, 3.40 and 2.35 wt%. Core loading pattern (LP) has been optimized for obtaining the cycle length of 900 effective full power days, while minimizing the average U-235 enrichment and the radial power peaking factor. The optimization process was performed by coupling the ESA method with the COREBN module of the SRAC2006 system code.

Keywords: *small reactor, core design optimization, ESA method.*

1. Introduction

In recent years, interest in small module reactors (SMR) has been increasing due to their flexibility in power generation for wider ranger users, locations and applications. They also show an enhanced safety performance through passive safety systems and updated technologies [\[1\].](#page-6-0) Currently there are more than 50 designs of SMR under development in the world [\[2\].](#page-6-1) Because of flexibility and safety features of SMRs, researches in this technology are very necessary to energy development strategy in Viet Nam. One of the first tasks of the research in SMRs is reactor core design and its loading pattern.

Fuel loading optimization is one of the important tasks in designing a nuclear reactor core, which is performed after every cycle of a nuclear reactor. The problem of fuel loading pattern (LP) optimization has received attention from the beginning of nuclear reactor technology with the application of various optimization methods. Most of the methods are based on the simulation of natural systems such as simulated annealing (SA) [\[3\]](#page-6-2)[-\[9\],](#page-7-0) generic algorithms (GA) [\[3\],](#page-6-2) [\[10\],](#page-7-1) [\[11\],](#page-7-2) [\[12\],](#page-7-3) evolution method [\[13\],](#page-7-4) particle swarm optimization method (PSO) [\[14\],](#page-7-5) [\[15\],](#page-7-6) [\[16\],](#page-7-7) differential evolution [\[17\]](#page-7-8) and so on. Although many attempts have been done, it is still a complicated multi-objective task [\[18\].](#page-7-9)

In the present work, an evolutionary simulated annealing (ESA) method has been applied to design a small 200 MWt reactor core. The ESA method is developed to improve the original SA by using crossover and mutation operators to generate new trial solutions, instead of binary or ternary exchanges in the original SA [\[19\].](#page-7-10) The crossover and mutation operators are similar to that used in GA. The reactor core is designed based on a reference ACPR50S reactor deployed in a floating nuclear power plant (FNPP) using typical PWR fuel assemblies [\[2\],](#page-6-1) [\[20\],](#page-7-11) [\[21\].](#page-7-12) The core design is targeted to attain a cycle length of about 900 effective full power days (EFPDs) similar to the reference ACPR50S, while minimizing the U-235 enrichment and radial power peaking factor. Core physics calculations were performed using the COREBN module of the SRAC2006 code system. The ESA method has been coupled with the COREBN module to perform the optimization process.

2. Methodology

2.1. ESA method

Simulated annealing (SA) method has been soon applied to the problem of fuel LP optimization [\[3\].](#page-6-2) The SA method has ability to escape local optima due to an acceptance probability of a worse solution. However, due to a slow convergence, the number of calculated LPs is usually large. In a previous work, the ESA method was developed to improve the original SA by using crossover and mutation to generate trial solutions. The advantages of ESA over SA and ASA have been examined [\[19\].](#page-7-10) The procedure of ESA is described as follows:

- (1) Starting with an initial trial LP
- (2) Core physics calculation of the trial LP is performed, and the fitness function is evaluated.
- (3) Comparison of the fitness function with that of the current base LPs is performed. The base LPs are updated if
	- The fitness value of the trial LP is greater than or equal to that of the base LP.
	- The fitness value of the trial LP is less than that of the base LP, the base LP is updated by a probability: $\rho = \exp(-\frac{\delta C}{\pi r^2})$ $\frac{\partial C}{\partial T^{(n)}}$). Where, δC is the difference of the fitness between the base LP and the trial LP; T is the temperature of the search.
- (4) A new trial LP is generated from two base LPs using crossover and mutation operators.
- (5) The temperature T⁽ⁿ⁾ is decreased as $T^{(n+1)} = \alpha T^{(n)}$, $\alpha < 1$, after a number of calculated trial LPs with constant T, known as Malkov length.
- (6) The convergence criteria is checked, and the search is stopped if the convergence criteria are met. Otherwise, step (2) is repeated.

In the ESA method, the two base LPs are referred to as parents, and the new trial LP is an offspring. The crossover is executed by exchanging two assemblies between the parents as displayed in [Figure 1.](#page-1-0) Then, a new trial LP is generated from the offspring by applying the mutation with a probability of 0.5. The crossover is performed as follows:

i) In the father LP, two locations L1 and L2 are randomly selected, and the corresponding assemblies at the locations L1 and L2 are identified as F1 and F2.

ii) A temporary offspring is generated by copying the mother.

iii) The assemblies F1 and F2 are located at the locations L1 and L2 of the offspring. At the same time, the assemblies at the locations L1 and L2 of the offspring are moved to the locations L3 and L4, where the assemblies F1 and F2 are formerly located.

Figure 1. Crossover operator used in the ESA method.

The mutation is performed in two steps. First, two or three assemblies of the offspring are selected and exchanged randomly to generate a new trial LP. Second, an assembly of the offspring is selected randomly and replaced by a random assembly with different U-235 enrichment by a probability of 0.5.

The two base LPs are updated by replacing the worse base LP by a better trial. Therefore, the best current LP is always selected as one of the two base LPs. Since the offspring contains more characteristics of the mother than that of the father, the selection of the mother from the two base LPs would have a significant effect on the performance of the crossover. Thus, to increase the diversity of the search process, the worse base LP is chosen as the mother. The convergence criteria were set to stop the calculation loop if the current base LP is remained unchanged after 100 trial solutions or the current best LP is remained unchanged after 1000 trial solutions.

2.2. Objective function

A fitness function has been used to design the core for achieving a cycle length of about 900 EFPDs, which is similar to the cycle length of the reference ACPR50 reactor. The average U-235 enrichment and radial power peaking factor are minimized. Therefore, the fitness function is written as:

$$
Fitness = -w_c \times |C - C_0| - w_e \times E - w_p \times max(0, PPF - P_0)
$$
\n⁽¹⁾

$$
E = \frac{\sum E_i n_i}{\sum n_i} \tag{2}
$$

where, *C* is cycle length; *E* is the average enrichment of loaded assemblies, E_i is enrichment of fuel assembly type i and n_i is the number of loaded fuel assembly type i ; and PPF is the radial power peaking factor. $C_0 = 900$ effective full power days (EFPDs), $P_0 = 1.5$ are chosen as constants. $w_c = 0.00333$, $w_e = 0.1$ and $w_p = 10$ are weighting factors. The cycle length is determined when the *keff* decreases to unity. A better LP corresponds to a larger value of Fitness.

2.3. Description of the core

The core is designed based on typical PWR assembles similar to the reference ACPR50 core as shown in [Figure 2.](#page-3-0) The core consists of 37 fuel assemblies with 1/4th symmetrical geometry. The assemblies are typical types of PWR, with 17x17 lattice, containing 264 rods, 24 guide tubes and a instrumentation tube. Three types of fuel assemblies corresponding to the U-235 enrichments of 4.45, 3.40 and 2.35 wt%, respectively, are considered for loading into the core. The main design parameters of the core are given in [Table 1](#page-3-1) [\[20\],](#page-7-11) [\[21\],](#page-7-12) [\[22\].](#page-7-13)

Core physics and burnup calculations have been performed based on a 2D full-core model using the COREBN module of the SRAC2006 code system and the JENDL-3.3 data library. The core is reflected by water as shown in [Figure 2.](#page-3-0) The eight-group macroscopic cross-section set of reflector and fuel lattices were generated using the PIJ module of the SRAC2006 code. The COREBN calculations were performed for obtaining the effective multiplication factor (k_{eff}) and power distribution during the burnup. Then the cycle length (C) and the maximum PPF are determined.

Figure 2. Core configuration (a) and a typical 17x17 PWR fuel assembly (b).

Table 1. Main parameters of the small modular reactor core based on the reference ACPR50 reactor [\[2\],](#page-6-1) [\[20\].](#page-7-11)

Parameters	Values		
Reactor thermal power [MW]	200		
Cycle length [day]	900		
Number of assembly [-]	37		
Assembly pitch [cm]	21.4173		
Assembly height [cm]	220		
Fuel rod pitch	1.2598		
Fuel pellet radius [cm]	0.4096		
Fuel inner cladding radius [cm]	0.4178		
Fuel outer cladding radius [cm]	0.475		
Fuel enrichment [% wt U235]	4.45, 3.40, 2.35		
Operation pressure [MPa]	15.5		
Inlet coolant temperature [K]	572.6		
Outlet coolant temperature [K]	595.1		
Fuel temperature [K]	1145		

3. Results and discussion

3.1. Core design and optimization

In the optimization process using the ESA method, the control parameters were surveyed and chosen including the initial temperature $T = 15.0$, $\alpha = 0.9$ and Malkov length = 25. Due to the 1/4 symmetry of the core, the calculation model consists of 10 fuel assemblies with three types of U-235 enrichments of 4.45 %wt (F445), 3.40 %wt (F340) and 2.35 %wt (F235), respectively, loaded in the 1/4th core geometry. The search processes were performed with ten independent runs. [Figure 3](#page-4-0) shows the change of the fitness function and other objective parameters in ten independent runs. Once can see the improvement of the Fitness occurs throughout the search process. The objective parameters such as cycle length, PPF and average

enrichment are also converged to stable values together with the convergence of Fitness function. [Table 2](#page-4-1) summaries the optimal objective parameters obtained in ten independent runs. The PPFs are converged to the values of about 1.387, while the average enrichment is 3.505 wt%, and the cycle length is approximate 900 EFPDs.

Figure 3. Evolution of the fitness (a), cycle length (b), PPF (c) and average enrichment with the number of calculated LPs in ten independent runs.

Table 2. Optimal objective parameters obtained by ESA method in ten independent runs.

run	Fitness	Cycle length (EFPDs)	PPF	Enrichment $(wt\%)$
1	-0.35127	900.2	1.377	3.505
2	-0.35127	900.2	1.377	3.505
3	-0.35268	899.3	1.370	3.505
4	-0.35127	900.2	1.377	3.505
5	-0.35515	898.6	1.497	3.505
6	-0.35127	900.2	1.377	3.505
7	-0.35128	900.2	1.377	3.505
8	-0.35128	900.2	1.377	3.505
9	-0.35268	899.3	1.370	3.505
10	-0.35127	900.2	1.377	3.505
Average	-0.35194	899.9	1.387	3.505

3.2. Optimal core LP

[Figure 4](#page-5-0) shows the optimal core LP of the small 200 MWt reactor selected from the ten independent runs of the optimization process. The relative radial power distribution at the beginning of cycle shows that the PPF of 1.377 appear near the core central at the assembly with the enrichment of 3.40 wt%. [Figure 5](#page-5-1) shows the change of *keff* and PPF during the burnup. The PPF is decreased during the EFPDs, and the *keff* is unity at about 900 days.

Several main parameters of the optimal LP have been calculated and summarized in [Table](#page-6-3) [3.](#page-6-3) One can see that two parameters of the core included PPF and EFPDs satisfy the requirements of the ACPR50S reactor those are PPF $\langle 1.377 \rangle$ and EFPDs = 900 days. The temperature coefficients of moderator and fuel are negative also. Furthermore, the average enrichment of the ACPR50S core is estimated at 3.505 wt%. The number of loaded fuel types are nine assemblies of F235, 12 assemblies of F340 and 16 assemblies of F445.

		F ₂₃₅	F340	F445			
		0.490	0.692	0.681			Fuel type
	F340	F445	F445	F445	F340		Relative power
	0.762	1.167	1.328	1.198	0.762		
F445	F445	F340	F ₂₃₅	F340	F445	F ₂₃₅	
0.682	1.199	1.376	1.236	1.376	1.168	0.490	
F340	F445	F ₂₃₅	F ₂ 35	F ₂ 35	F445	F340	
0.692	1.329	1.237	1.254	1.237	1.329	0.692	
F ₂₃₅	F445	F340	F ₂ 35	F340	F445	F445	
0.491	1.168	1.377	1.237	1.377	1.199	0.682	
	F340	F445	F445	F445	F340		
	0.763	1.200	1.330	1.169	0.763		
		F445	F340	F ₂₃₅			
		0.683	0.693	0.491			

Figure 4. Optimal loading pattern and relative power distribution of the small reactor core.

Figure 5. Evolution of the k_{eff} and PPF of the optimal core as functions of burnup.

Table 3. Parameters of the optimal core.

4. Conclusions

The ESA method was applied to desing a small 200 MWt modular reactor core based on the reference ACPR50S reactor. Th COREBN module of the SRAC2006 code system was for core physics and burnup calculations, which was coupled with the ESA method for performing the design process. The core consists of 37 typical PWR fuel assemblies with the enrichments of 4.45, 3.40 and 2.35 wt%. The target designs are to obtain the core cycle length of about 900 EFPDs, while minimizing the PPF and the average U-235 enrichment. The optimal core is obtained with the number of F445, F340 and F235 assemblies of 16, 12 and 9, respectively. The cycle length of the optimal core is 900 EFPDs, while the PPF is 1.377 and the average enrichment is 3.505 wt%. Negative fuel temperature and coolant temperature coefficients have been confirmed.

Acknowledgments

This research is funded by Vietnam National Foundation for Science and Technology Development (NAFOSTED) under grant 103.04-2019.37.

References

- [1]. Nuclear Development 2016, Small Modular Reactors: Nuclear Energy Market Potential for Near-term Deployment, OECD-NEA.
- [2]. IAEA 2018, Advances in Small Modular Reactor Technology Developments, IAEA booklet (2018).,
- [3]. Yamamoto A 1997 A quantitative comparison of loading pattern optimization methods for in-core fuel management of PWR, Nucl. Sci. Eng., 34, 339.
- [4]. Mahlers Y P 1995, Core loading pattern optimization based on simulated annealing and successive linear programming, Ann. Nucl. Energy, 22, p 29.
- [5]. J. G. Stevens J G, Smith K S, Rempe K R, Downar T J 1995, Optimization of pressurized water reactor shuffling by simulated annealing with heuristics, Nucl. Sci. Eng., 121, p 67.
- [6]. Sikander M M, Aneela A, Nasir M M 2000, Adaptive Simulated Annealing Methodology for Fuel Loading Pattern Optimization, Nucl. Sci. J., 37, p 340.
- [7]. Hyun C L, Hyung J S, Chang H K 2001, Parallel computing adaptive simulated annealing scheme for fuel assembly loading pattern optimization in PWRs, Nucl. Technol., 135, p 39.
- [8]. Timothy R, Jean R, Stephen S, Robert S C 2009, Optimization of PWR fuel assembly radial enrichment and burnable poison location based on adaptive simulated annealing, Nucl. Eng. Des., 239, p 1019.
- [9]. Aneela Z, Sikander M M, Nasir M M 2014, Core loading pattern optimization of a typical two-loop 300 MWe PWR using Simulated Annealing (SA), novel crossover Genetic Algorithms (GA) and hybrid GA(SA) schemes, Ann. Nucl. Energy, 65, p 122.
- [10]. DeChaine M D and Feltus M A 1995, Nuclear fuel management optimization using genetic algorithms, Nucl. Technol., 111, p 109.
- [11]. Parks G T 1996, Multiobjective pressurized water reactor reload core design by nondominated genetic algorithm search, Nucl. Sci. Eng., 124, p 178.
- [12]. DeChaine D M and Feltus M A 1996, Fuel management optimization using genetic algorithms and expert knowledge, Nucl. Sci. Eng., 124, p 188.
- [13]. Axmann J K 1997, Parallel adaptive evolutionary algorithms for pressurized water reactor reload pattern optimization, Nucl. Technol., 119, p 276.
- [14]. Babazadeh D, Boroushaki M, Lucas C 2009, Optimization of fuel core loading pattern design in a VVER nuclear power reactors using Particle Swarm Optimization (PSO), Ann. Nucl. Energy, 36, p 923.
- [15]. Yadav R D S, Gupta H P 2011, Optimization studies of fuel loading pattern for a typical Pressurized Water Reactor (PWR) using particle swarm method, Ann. Nucl. Energy, 38, p 2086.
- [16]. Jamalipour M, Sayareh R, Gharib M, Khoshahval F, Karimi M R 2013, Quantum behaved Particle Swarm Optimization with Differential Mutation operator applied to WWER-1000 in-core fuel management optimization, Ann. Nucl. Energy, 54, p 134.
- [17]. Phan, G.T.T., Do, Q.B., Ngo, Q.H., Tran, T.A., Tran, H.N., 2020, Application of differential evolution algorithm for fuel loading optimization of the dnrr research reactor, Nuclear Engineering and Design 362, 110582.
- [18]. Aghaie M, Mahmoudi S M 2016, A novel multi objective Loading Pattern Optimization by Gravitational Search Algorithm (GSA) for WWER1000 core, Prog. Nucl. Energy, 93, p 1.
- [19]. Viet-Phu Tran, Giang T.T. Phan, Van-Khanh Hoang, Pham Nhu Viet Ha, Akio Yamamoto, Hoai-Nam Tran, Evolutionary simulated annealing for fuel loading optimization of VVER-1000 reactor, revised manuscript is submitted on $24th$ August 2020, Ann. Nucl. Energy.
- [20]. U.S. Nuclear Regulatory Commission (NRC) 2011, Westinghouse AP1000 Design Control Documentation (DCD), Westinghouse Electric Company, 2011, Chapter 4, Rev. 19.
- [21]. VK Hoang, VP Tran, VT Dinh, HN Tran 2019, Conceptual design of a small-pressurized water reactor using the AP1000 fuel assembly design, Nuclear Science and Technology, 2019, Vol.9, No. 2, p 25.
- [22]. K Okumura, T Kugo, K Kaneko, K Tsuchihashi 2007, SRAC2006: A Comprehensive Neutronics Calculation Code System, JAEA-Data/Code, 2007, 2007-004
- [23]. Keisuke Okumura 2007, COREBN: A Core Burn-up Calculation Module for SRAC2006, JAEA-Data/Code, 2007, 2007-003

ÁP DỤNG PHƯƠNG PHÁP MÔ PHỎNG TÔI KIM TIẾN HÓA TRONG THIẾT KẾ VÙNG HOẠT LÒ PHẢN ỨNG NHỎ 200MWt

Tóm tắt: Bài báo này trình bày áp dụng phương pháp mô phỏng tôi kim phỏng tiến hóa (ESA) để thiết kế vùng hoạt lò phản ứng nhỏ 200 MWt. Thiết kế vùng hoạt được dựa trên các thông số của lò phản ứng ACPR50, loại lò sẽ được triển khai trên một nhà máy điện hạt nhân nổi của Trung

Quốc. Vùng hoạt bao gồm 37 bó nhiên liệu loại 17x17 được sử dụng trong các lò phản ứng PWR với ba loại độ làm giàu U-235 khác nhau là 4,45; 3,40 và 2,35 % khối lượng. Cấu hình nạp tải vùng hoạt (LP) đã được tối ưu hóa để có được độ dài chu kỳ là 900 ngày hoạt động với 100% công suất, đồng thời có độ giàu trung bình của nhiên liệu nạp tải nhỏ nhất và hệ số đỉnh công suất thỏa mãn tiêu chuẩn an toàn. Quá trình tối ưu hóa được thực hiện bằng cách kết hợp phương pháp ESA với mô-đun COREBN của bộ chương trình SRAC2006. **Từ khóa:** *ACPR50S, tối ưu nạp tải, mô phỏng tôi kim*